



Central Depository Systems (Pvt) Ltd
(A fully owned subsidiary of the Colombo Stock Exchange)

APPLICATION FOR PARTICIPANT STATUS IN CENTRAL DEPOSITORY SYSTEMS (PVT) LTD.

DOCUMENTS TO BE SUBMITTED

The following documents and particulars duly certified by the Company secretary of the Applicant bank shall be submitted to the CDS at the time submitting the application

1. An application for participatory status as a custodian bank must be submitted to CDS
2. The application for the participatory status as a custodian bank in CDS referred to item 1 in this rule shall be accompanied by the following documents:
 - i). Copy of the License from the Central Bank of Sri Lanka to operate commercial bank.
 - ii). Copy of the certificate of incorporation of the bank.
 - iii). A list of top ten shareholders and the number of their shares held by them.
 - iv). List of directors of the bank as at the date of application.
 - v). Copy of the latest Annual report of the bank including audited accounts for the past financial year.
 - vi). The rating given by a rating agency registered by the Securities and Exchange Commission should be indicated in the application
3. The bank must confirm that they have designated staff to handle custody operations and services. The names, designations and contact details should be included in the application.
4. If the application does not meet the requirements stated herein the CDS will inform the applicant by a Letter stating that:
 - a. the application is incomplete
 - b. the application is rejected
5. If the application meets the requirements, the application for Participant status will be considered and Approved by the Board of Directors of the CDS.

Application for a Participant status in Central Depository Systems (Pvt) Ltd.

To ; The Chairman and Board of the Colombo Stock Exchange

We hereby submit our application for a Participant status in the CDS for your consideration. We herewith furnish the following information required as per the CDS participant requirements under the CDS rules.

- 1. Name of the institution:
- 2. Registered Address:
- 3. Business Address:
- 4. Date of Incorporation:
- 5. Stated Capital:
- 6. Financial year end :
- 7. Rating and date of rating :
- 8. Telephone Numbers :
- 9. Fax Numbers :
- 10. Contact person/s and contact numbers:
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We attach the following documents:

- i.
- ii.
- iii.
- iv.
- v.
- vi.
- vii.

We confirm that the details furnished above are true and accurate .We hereby undertake to abide by and comply with the applicable Rules, Regulations and guidelines of the CDS and any amendments thereto that may be made from time to time.

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Director/Authorized signatory
Date :

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Company Secretary
Date