

CDS Form 30A

NOTE: This Declaration should be submitted through your Participant (prior to transacting in BASEL III compliant Debt Securities on the Colombo Stock Exchange)

To: Central Depository Systems (Pvt.) Ltd.
Ground Floor, M & M Center,
341/5, Kotte Road,
Rajagiriya.

**DECLARATION TO BE SUBMITTED BY QUALIFIED INVESTORS
FOR THE PURPOSE OF TRADING IN BASEL III COMPLIANT DEBT SECURITIES ON THE
COLOMBO STOCK EXCHANGE**

Name/s* of the CDS Account Holder/s*:

Main Holder

1.																																				
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First Joint Holder

2.																																			
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Second Joint Holder

3.																																			
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CDS A/C Number to be used to transact in BASEL III compliant Debt Securities:

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I/We* hereby declare that, I/we* am/are a Qualified Investor/s* as indicated below and therefore, I/we am/are* eligible to trade in BASEL III compliant Debt Securities on the Colombo Stock Exchange.

[Please tick (√) the applicable category under which the CDS Account Holder/s* becomes a 'qualified investor/s*']

- a commercial bank licensed by the Central Bank of Sri Lanka in terms of the Banking Act No. 30 of 1988 (as amended)
- a specialized bank licensed by the Central Bank of Sri Lanka in terms of the Banking Act No. 30 of 1988 (as amended)
- a mutual fund, pension fund, Employee Provident Fund or any other similar pooled fund
- a venture capital fund/company and private equity company
- a finance company licensed by the Central Bank of Sri Lanka in terms of the Finance Business Act No. 42 of 2011(as amended)

- a company licensed by the Central Bank of Sri Lanka to carry on finance leasing business under the Finance Leasing Act No. 56 of 2000 (as amended)
- a company licensed by the Insurance Board of Sri Lanka to carry on insurance business in terms of the Regulation of Insurance Industry Act No. 43 of 2000 (as amended)
- a corporate (listed or unlisted) which does not fall under the above categories and is incorporated under the Companies Act No. 7 of 2007
- an investment trust or investment company
- a non-resident institutional investor
- an individual with an initial investment of Rs. 5,000,000/-

(1) (2)..... (3).....
 Signature/s* of Account Holder/s* or Authorized Signatories of the Account Holder/s*

[In the event the Account holder is a Body Corporate, the Common Seal of the Account holder, if applicable)

Date:

 Name of Participant:

We confirm that;

1. the above details pertaining to the Account Holder/s* are accurate; and,
2. the signature/s* indicated above is/are* of the Account Holder/s*

.....
 Authorized Signatory and Stamp of the Participant

Date:

***Delete accordingly**